

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Timothy BATEMAN et al.

Serial No. 09/940,419

Filed: August 29, 2001

For: PATIENT VENTILATION  
DEVICES

Art Unit: 3761

Examiner: Mitchell, Teena Kay

Atty Docket: 0100/0134

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**FILED PURSUANT TO 37 CFR 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application.

These documents are being submitted (check only one of the next four boxes):

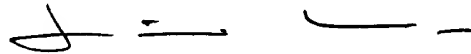
- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)
- ☒ following receipt of a Final Office Action and the filing of a RCE for continuing prosecution on August 31, 2004.
- ☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- ☒ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited British Patent Office in a counterpart application. (37 CFR §1.97(e)(1))
- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



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Date: Sept 8, 2007

FORM PTO-1449

**INFORMATION DISCLOSURE CITATION**Atty Docket  
0100/0134Serial No.  
09/940,419Applicant  
Timothy BATEMAN et al.Filing Date  
August 29, 2001Group Art Unit  
3761**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	6,196,223	03/06/2001	Belfer et al.			04/29/1999
	AB	4,579,114	04/01/1986	Gray et al.			10/11/1983
	AC	3,982,532	09/28/1976	Halldin et al.			12/17/1974
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	99/25140	05/27/1999	WO			
	AM						
	AN						
	AO						
	AP						

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.